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SANTA BARBARA • SANTA CRUZ

OFFICE OF THE PRESIDENT California Digital Library 415 20th Street Oakland, California 94612

August 29, 2013



We are writing to inform you about a new Academic Senate Policy that affects scholarly articles authored by faculty at the University of California. On July 24, 2013, the University of California Academic Council adopted an Open Access Policy that authorizes the University of California to make available faculty-authored scholarly articles and to exercise the copyright in those articles for the purpose of open dissemination. This policy complements a similar Open Access Policy enacted at the University of California, San Francisco (UCSF) on May 21st, 2012. Together, these policies reflect and support the University of California faculty's commitment to the widest possible dissemination of its research and scholarship.

By adopting this Policy, UC faculty have granted to the University of California a nonexclusive license to exercise any and all rights under copyright relating to each scholarly article written by a UC faculty member. The license also allows the University of California to authorize others to exercise these same rights.

The Policy applies to all scholarly articles completed after the adoption of the Policy while the author is a member of the UC Faculty, as long as the Faculty member did not enter into an incompatible agreement before the adoption of the Policy.

Under the Policy, articles will be deposited in the University of California's eScholarship repository, an open-access platform developed and maintained by the California Digital Library. The author's final peer-reviewed manuscript (i.e., the version including all changes made as a result of the peer-review process, but prior to a publisher's copy-editing or formatting) will be considered the default version for depositing in eScholarship; where the publisher allows, the final published version of the article will be deposited in place of the author's final peer-reviewed manuscript. Whenever possible, the eScholarship repository will provide a link to the final published version of the article on the publisher's web site. We invite publishers to work with us on the mechanism of deposit so that we may support your workflow in the most efficient way possible.

Some authors may supply an addendum to the author publication agreement that reflects the UC Policy, although the Policy grants a license to the University of California that exists prior to the execution of any publisher agreement and applies regardless of the use of this addendum. This example addendum, the text of the full Policy, and a more detailed FAQ are attached for your reference. The UC Libraries may also propose a clause covering the policy for inclusion in content licenses, as appropriate.

This letter is intended to notify you of the UC Policy and also to seek your cooperation and partnership in its implementation. We believe both the UC and UCSF Policies can work in tandem with journal publishing to disseminate research as broadly and rapidly as possible and increase the overall visibility of publications, a goal universities and publishers share.

We welcome conversations with you that can arrive at a mutually satisfactory understanding of the Policy and its compatibility with your author publication agreements.

If you have any questions or concerns about publishing UC-authored articles under this Policy, please feel free to contact Laine Farley as UC's designate for the Policy. Laine can be reached at laine.farley@ucop.edu or 510-987-0552.

Sincerely,

Laine Farley

Executive Director, California Digital Library

and

Christopher Kelty, PhD

Laine Farley

Associate Professor, UCLA and Chair, University Committee on Library and Scholarly Communication

Enclosures (2)

cc: Robert Powell
Aimee Dorr
Ivy Anderson
Catherine Mitchell